

Serial No.: 10/020,045

PATENT  
PU010301

2611

#5

06-20-03  
S.I.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Herbert Stevens, et al.  
Serial No.: 10/020,045  
Filed: December 13, 2001  
For: System and Method for Automatic Switching to Interactive Application  
During Television Program Breaks  
Art Unit: 2611

INFORMATION DISCLOSURE STATEMENT

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- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by

37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch D67, Date of Allowance: 08/30/96"), and

(b) The required Certification is stated in item 11 below.

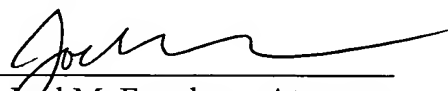
[X] 11 Certification

[X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[ ] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
John Herbert Stevens, et al.


BY:   
Joel M. Fogelson, Attorney  
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Thomson Licensing Inc.  
Patent Operations  
P. O. Box 5312  
Princeton, New Jersey 08543-5312

June 6, 2003

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to:  
Commissioner for Patents, Alexandria, VA 22313-1450 on the date indicated below.

Date: June 6, 2003 Signature   
Dorothy Kerrick

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**PU010301**SERIAL NO.  
**10/020,045****INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97**

(Use several sheets if necessary)

APPLICANTS

**John Herbert Stevens, et al.**

FILING DATE

**12/13/01**GROUP  
2611

## U.S. PATENT DOCUMENTS

EXAMINE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
	AI	W000/40025	7/6/00	PCT	H04N	7/16
	AJ	W099/11065	3/4/99	PCT	H04N	7/00
	AK					
	AL					
	AM					
	AN					

## OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)

	AO	International Search Report for International Application No. PCT/US02/39401, March 25, 2003
	AP	
	AQ	
	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

SUBMITTED BY:

*Joel M. Fogelson*  
Joel M. Fogelson

REG. NO.: 43,613

DATE: June 6, 2003